



Australian
National
University

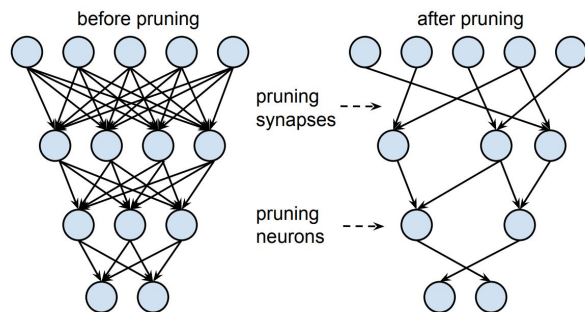
A signal propagation perspective for pruning neural networks at initialization

Namhoon Lee¹, Thalaiyasingam Ajanthan², Stephen Gould², Philip Torr¹

¹University of Oxford, ²Australian National University

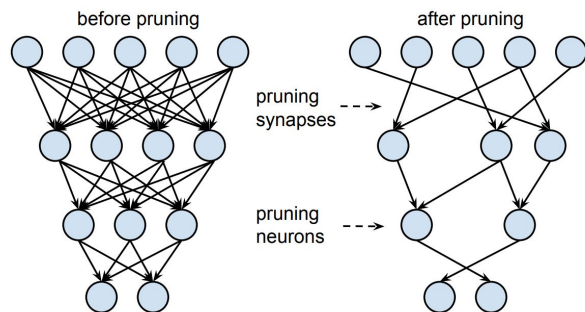
ICLR 2020 *Spotlight presentation*

Motivation



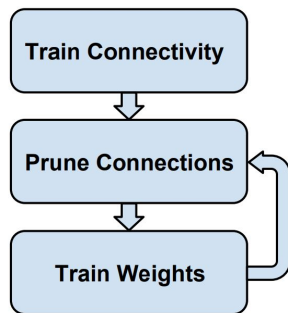
Han et al. 2015

Motivation



A typical pruning approach requires training steps

(Han *et al.* 2015, Liu *et al.* 2019).



Han *et al.* 2015

Motivation

Published as a conference paper at ICLR 2019

SNIP: SINGLE-SHOT NETWORK PRUNING BASED ON CONNECTION SENSITIVITY

Namhoon Lee, Thalaiyasingam Ajanthan & Philip H. S. Torr
University of Oxford
{namhoon, ajanthan, phst}@robots.ox.ac.uk

ABSTRACT

Pruning large neural networks while maintaining their performance is often desirable due to the reduced space and time complexity. In existing methods, pruning is done within an iterative optimization procedure with either heuristically designed pruning schedules or additional hyperparameters, undermining their utility. In this work, we present a new approach that prunes a given network once at initialization prior to training. To achieve this, we introduce a saliency criterion based on connection sensitivity that identifies structurally important connections in the network for the given task. This eliminates the need for both pretraining and the complex pruning schedule while making it robust to architecture variations. After pruning, the sparse network is trained in the standard way. Our method obtains extremely sparse networks with virtually the same accuracy as the reference network on the MNIST, CIFAR-10, and Tiny-ImageNet classification tasks and is broadly applicable to various architectures including convolutional, residual and recurrent networks. Unlike existing methods, our approach enables us to demonstrate that the retained connections are indeed relevant to the given task.

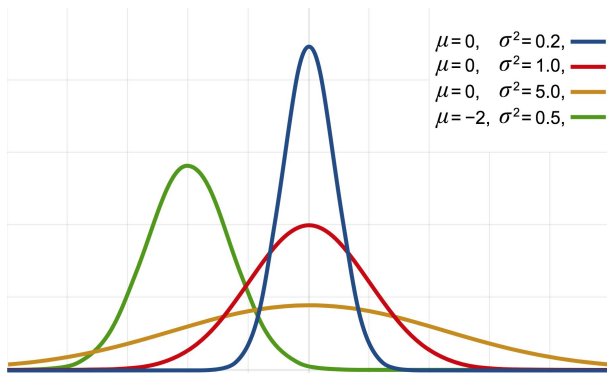
A typical pruning approach requires training steps

(Han *et al.* 2015, Liu *et al.* 2019).

Pruning can be done efficiently at initialization
prior to training based on connection sensitivity

(Lee *et al.*, 2019).

Motivation



A typical pruning approach requires training steps

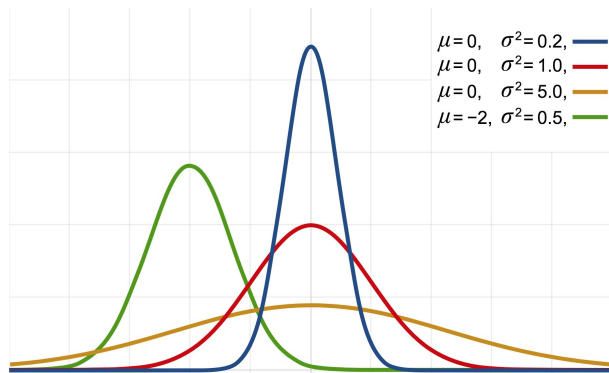
(Han *et al.* 2015, Liu *et al.* 2019).

Pruning can be done efficiently at initialization
prior to training based on connection sensitivity

(Lee *et al.*, 2019).

The initial random weights are drawn from
appropriately scaled Gaussians (Glorot & Bengio, 2010).

Motivation



A typical pruning approach requires training steps

(Han *et al.* 2015, Liu *et al.* 2019).

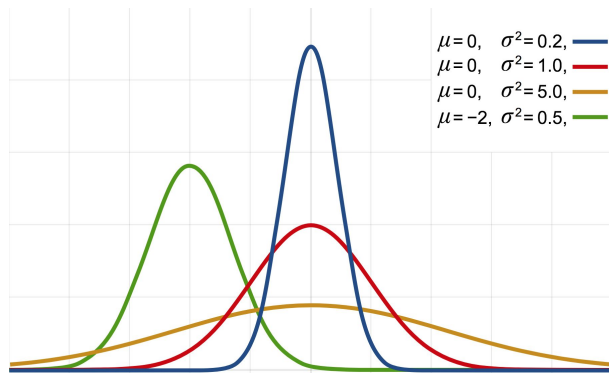
Pruning can be done efficiently at initialization
prior to training based on connection sensitivity

(Lee *et al.*, 2019).

The initial random weights are drawn from
appropriately scaled Gaussians (Glorot & Bengio, 2010).

It remains unclear exactly why pruning at
initialization is effective.

Motivation



A typical pruning approach requires training steps

(Han *et al.* 2015, Liu *et al.* 2019).

Pruning can be done efficiently at initialization
prior to training based on connection sensitivity

(Lee *et al.*, 2019).

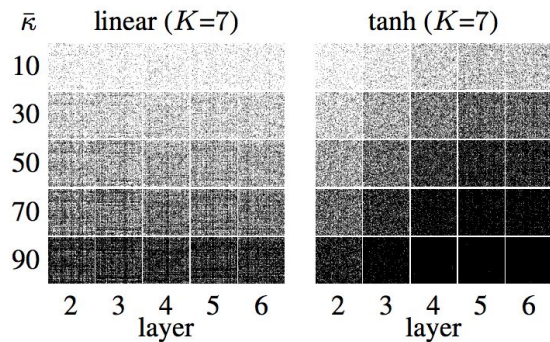
The initial random weights are drawn from
appropriately scaled Gaussians (Glorot & Bengio, 2010).

It remains unclear exactly why pruning at
initialization is effective.

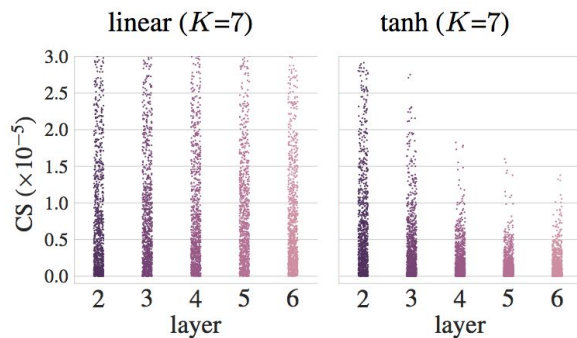
Our take $\Rightarrow$ *Signal Propagation Perspective.*

Initialization & connection sensitivity

Sparsity
pattern

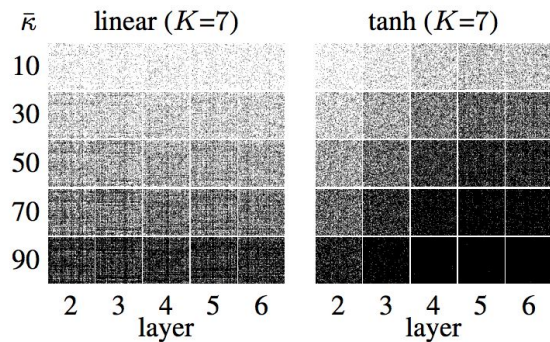


Sensitivity
scores



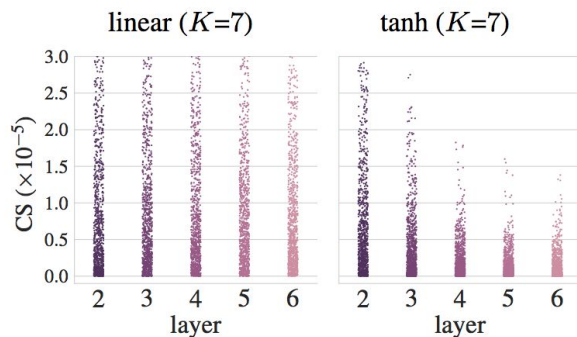
Initialization & connection sensitivity

Sparsity pattern



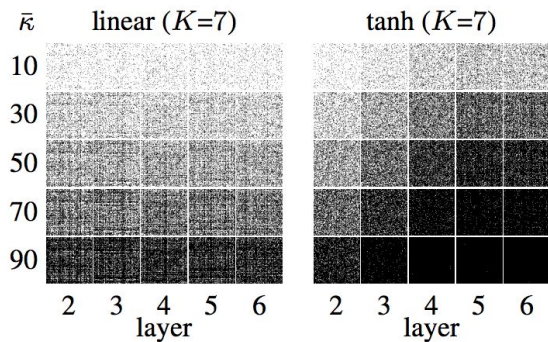
(Linear) uniformly pruned throughout the network.
→ *learning capability secured.*

Sensitivity scores



Initialization & connection sensitivity

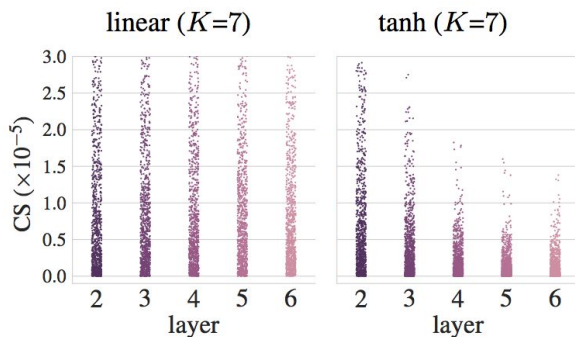
Sparsity pattern



(Linear) uniformly pruned throughout the network.
→ *learning capability secured.*

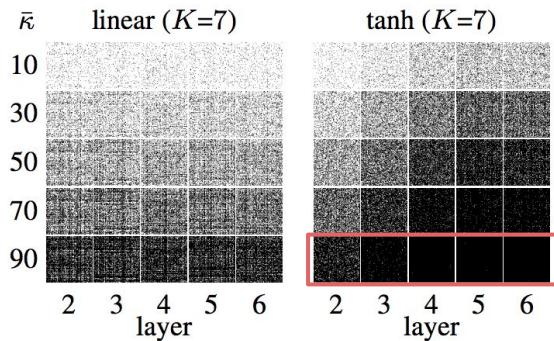
(tanh) more parameters pruned in the later layers.
→ *critical for high sparsity pruning.*

Sensitivity scores



Initialization & connection sensitivity

Sparsity pattern



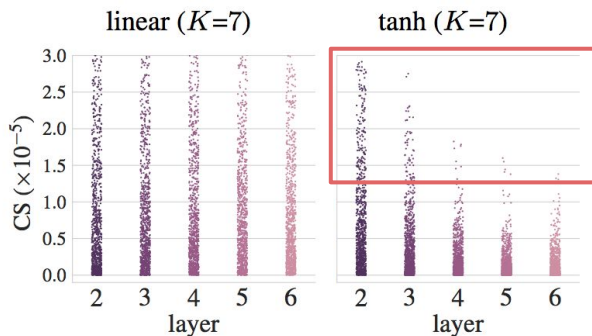
(Linear) uniformly pruned throughout the network.

→ *learning capability secured.*

(tanh) more parameters pruned in the later layers.

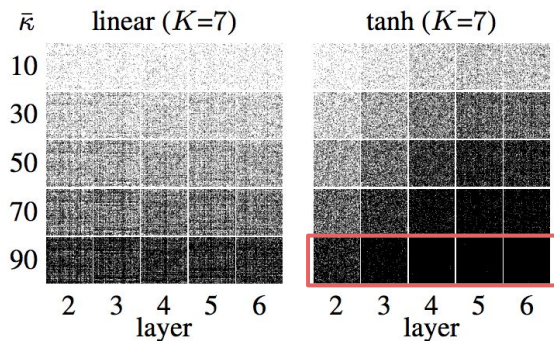
→ *critical for high sparsity pruning.*

Sensitivity scores



Initialization & connection sensitivity

Sparsity pattern



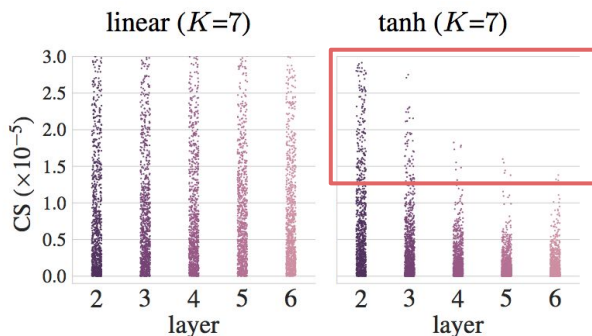
(Linear) uniformly pruned throughout the network.

→ *learning capability secured.*

(tanh) more parameters pruned in the later layers.

→ *critical for high sparsity pruning.*

Sensitivity scores

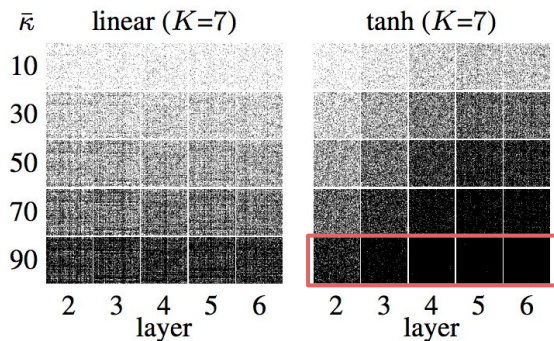


CS scores decrease towards the later layers.

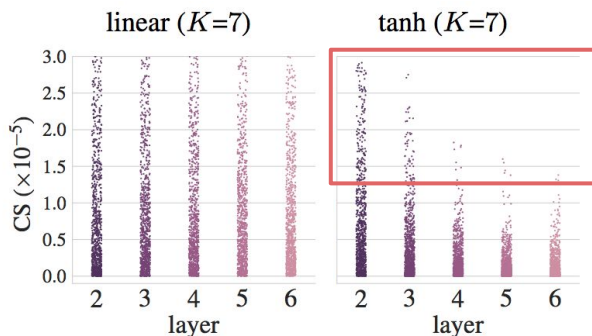
→ Choosing top salient parameters globally results in *a network, in which parameters are distributed non-uniformly and sparsely towards the end.*

Initialization & connection sensitivity

Sparsity pattern



Sensitivity scores



(Linear) uniformly pruned throughout the network.

→ *learning capability secured.*

(tanh) more parameters pruned in the later layers.

→ *critical for high sparsity pruning.*

CS scores decrease towards the later layers.

→ Choosing top salient parameters globally results in *a network, in which parameters are distributed non-uniformly and sparsely towards the end.*

CS metric can be decomposed as $\frac{\partial L(\mathbf{w}; \mathcal{D})}{\partial \mathbf{w}} \odot \mathbf{w}$.

→ *necessary to ensure reliable gradient!*

Layerwise dynamical isometry for faithful gradients

Proposition 1 (*Gradients in terms of Jacobians*).

For a feed-forward network, the gradients satisfy: $\mathbf{g}_{\mathbf{w}^l}^T = \epsilon \mathbf{J}^{l,K} \mathbf{D}^l \otimes \mathbf{x}^{l-1}$, where $\epsilon = \partial L / \partial \mathbf{x}^K$ denotes the error signal, $\mathbf{J}^{l,K} = \partial \mathbf{x}^K / \partial \mathbf{x}^l$ is the Jacobian from layer l to the output layer K , and $\mathbf{D}^l \in \mathbb{R}^{N \times N}$ refers to the derivative of nonlinearity.

Layerwise dynamical isometry for faithful gradients

Proposition 1 (*Gradients in terms of Jacobians*).

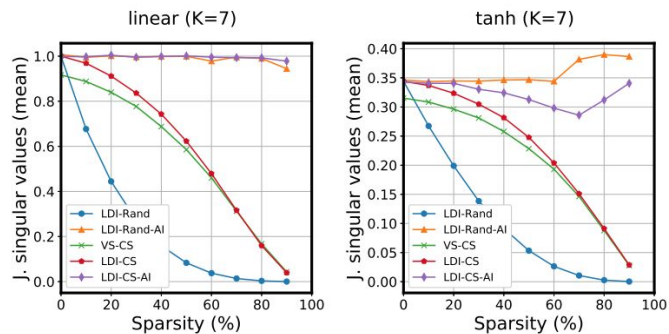
For a feed-forward network, the gradients satisfy: $\mathbf{g}_{\mathbf{w}^l}^T = \epsilon \mathbf{J}^{l,K} \mathbf{D}^l \otimes \mathbf{x}^{l-1}$, where $\epsilon = \partial L / \partial \mathbf{x}^K$ denotes the error signal, $\mathbf{J}^{l,K} = \partial \mathbf{x}^K / \partial \mathbf{x}^l$ is the Jacobian from layer l to the output layer K , and $\mathbf{D}^l \in \mathbb{R}^{N \times N}$ refers to the derivative of nonlinearity.

Definition 1 (*Layerwise dynamical isometry*).

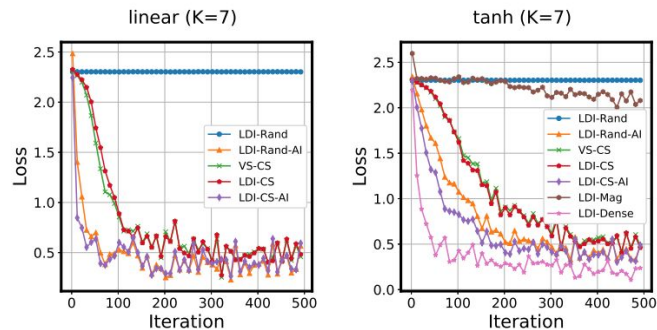
Let $\mathbf{J}^{l-1,l} = \frac{\partial \mathbf{x}^l}{\partial \mathbf{x}^{l-1}} \in \mathbb{R}^{N_l \times N_{l-1}}$ be the Jacobian matrix of layer l . The network is said to satisfy layerwise dynamical isometry if the singular values of $\mathbf{J}^{l-1,l}$ are concentrated near 1 for all layers; *i.e.*, for a given $\epsilon > 0$, the singular value σ_j satisfies $|1 - \sigma_j| \leq \epsilon$ for all j .

Signal propagation and trainability

Signal
propagation

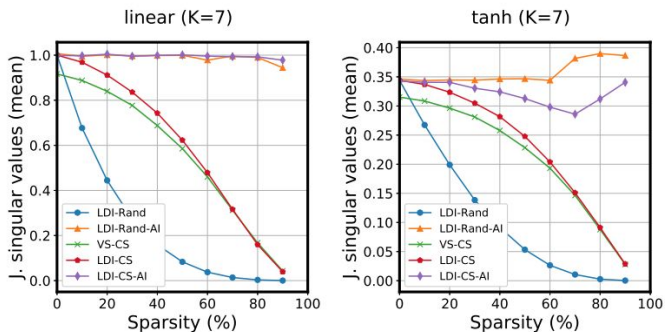


Trainability
(sparsity: 90%)



Signal propagation and trainability

Signal
propagation



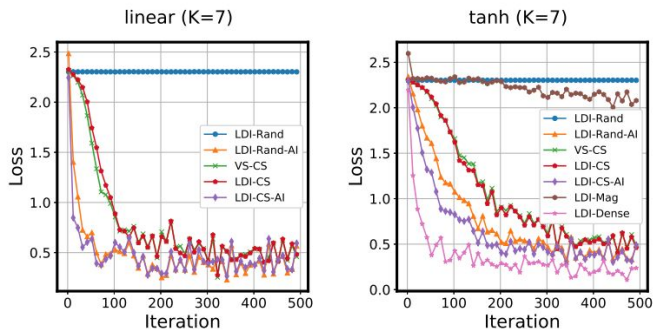
Jacobian singular values (JSV) decrease as per increasing sparsity.

→ *Pruning weakens signal propagation.*

JSV drop rapidly with random pruning, compared to connection sensitivity (CS) based pruning.

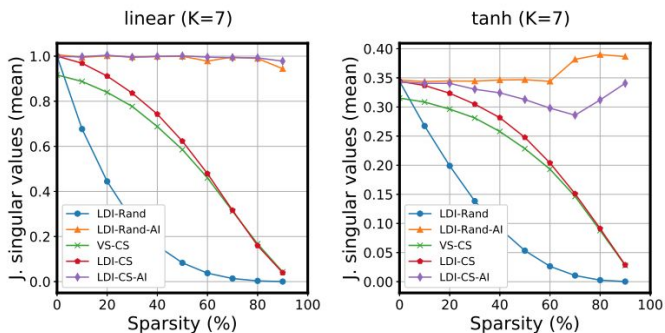
→ *CS pruning preserves signal propagation better.*

Trainability
(sparsity: 90%)



Signal propagation and trainability

Signal
propagation



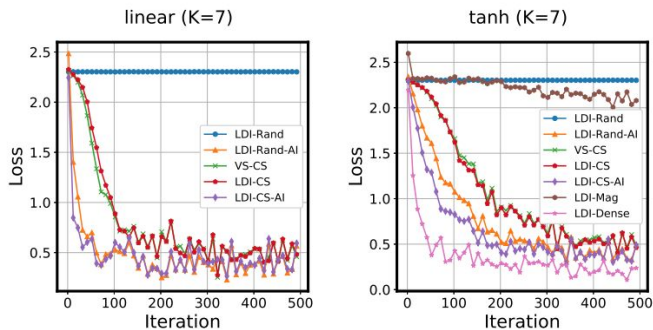
Jacobian singular values (JSV) decrease as per increasing sparsity.

→ *Pruning weakens signal propagation.*

JSV drop rapidly with random pruning, compared to connection sensitivity (CS) based pruning.

→ *CS pruning preserves signal propagation better.*

Trainability
(sparsity: 90%)

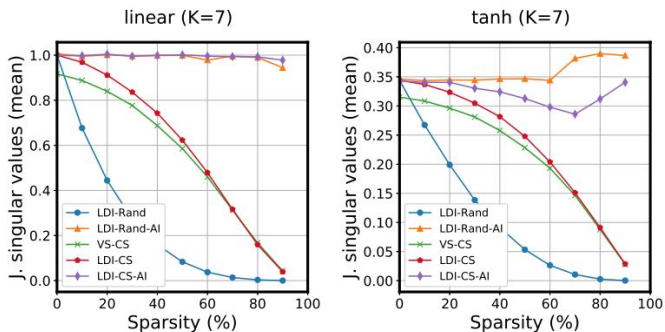


Correlation between signal propagation and trainability.

→ *The better a network propagates signals, the faster it converges during training.*

Signal propagation and trainability

Signal propagation



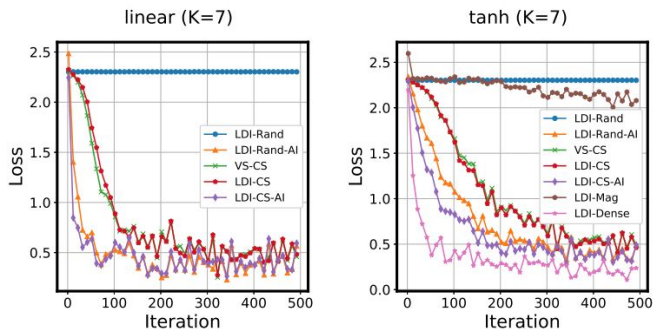
Jacobian singular values (JSV) decrease as per increasing sparsity.

→ *Pruning weakens signal propagation.*

JSV drop rapidly with random pruning, compared to connection sensitivity (CS) based pruning.

→ *CS pruning preserves signal propagation better.*

Trainability
(sparsity: 90%)



Correlation between signal propagation and trainability.

→ *The better a network propagates signals, the faster it converges during training.*

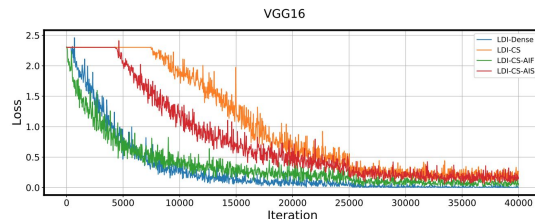
Enforce **Approximate Isometry**:

$$\min_{\mathbf{W}^l} \|(\mathbf{C}^l \odot \mathbf{W}^l)^T (\mathbf{C}^l \odot \mathbf{W}^l) - \mathbf{I}^l\|_F$$

→ *Restore signal propagation and improve training!*

Validations and extensions

Modern networks



Non-linearities

Initialization	VGG16			ResNet32		
	tanh	l-relu	selu	tanh	l-relu	selu
VS-L	9.07	7.78	8.70	13.41	12.04	12.26
VS-G	9.06	7.84	8.82	13.44	12.02	12.32
VS-H	9.99	8.43	9.09	13.12	11.66	12.21
LDI	<u>8.76</u>	<u>7.53</u>	<u>8.21</u>	13.22	<u>11.58</u>	<u>11.98</u>
LDI-AI	8.72	7.47	8.20	<u>13.14</u>	11.51	11.68

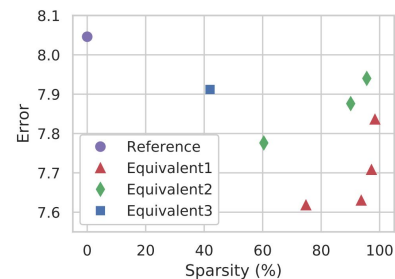
Pruning without supervision

Loss	Superv.	K=3	K=5	K=7
GT	✓	2.46	2.43	2.61
Pred. (raw)	✗	3.31	3.38	3.60
Pred. (softmax)	✗	3.11	3.37	3.56
Unif.	✗	2.77	2.77	2.94

Transfer of sparsity

Category	Dataset		Error		Error rand
	prune	train&test	sup. → unsup.	(Δ)	
Standard	MNIST	MNIST	2.42 → 2.94	+0.52	15.56
Transfer	F-MNIST	MNIST	2.66 → 2.80	+0.14	18.03
Standard	F-MNIST	F-MNIST	11.90 → 13.01	+1.11	24.72
Transfer	MNIST	F-MNIST	14.17 → 13.39	-0.78	24.89

Architecture sculpting



Summary

- *The initial random weights have critical impact on pruning.*
- *Layerwise dynamical isometry ensures faithful signal propagation.*
- *Pruning breaks dynamical isometry and degrades trainability of a neural network.
Yet, enforcing approximate isometry can recover signal propagation and enhance trainability.*
- *A range of experiments verify the effectiveness of signal propagation perspective.*